

**UNAUDITED FINANCIAL RESULTS OF THE SCHEMES OF IIFL MUTUAL FUND FOR THE PERIOD ENDED SEPTEMBER 30, 2013**  
(Pursuant to the provisions of Regulation 59 of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996)

| Sr. No. | Particulars  |               | IIFL NIFTY ETF           | IIFL Fixed Maturity Plan Series 1 | IIFL Fixed Maturity Plan Series 2 | IIFL Fixed Maturity Plan Series 3 | IIFL Dividend Opportunities Index Fund | IIFL Fixed Maturity Plan Series 6 | IIFL Dynamic Bond Fund           | IIFL Short Term Income Fund       |
|---------|--|---------------|--------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--|-----------------------------------|----------------------------------|-----------------------------------|
|         |  |               | 01.04.2013 to 30.09.2013 | 01.04.2013 to 22.07.2013          | 01.04.2013 to 03.04.2013          | 01.04.2013 to 03.04.2013          | 01.04.2013 to 30.09.2013               | 01.04.2013 to 30.09.2013          | 24.06.2013 to 30.09.2013         | 20.09.2013 to 30.09.2013          |
| 1.1     | Unit Capital at the beginning of the half - year period                                  | (₹ in Crores) | 0.21                     | 31.59                             | 65.04                             | 47.94                             | 31.17                                  | 122.21                            | ^                                | ^                                 |
| 1.2     | Unit Capital at the end of the period  | (₹ in Crores) | 0.15                     | *                                 | *                                 | *                                 | 24.66                                  | 122.21                            | 34.14                            | 20.80                             |
| 2.0     | Reserves & Surplus   | (₹ in Crores) | 8.51                     | -                                 | -                                 | -                                 | 0.48                                   | 4.62                              | (1.73)                           | 0.07                              |
| 3.1     | Total Net Assets at the beginning of the half - year period                              | (₹ in Crores) | 11.95                    | 35.16                             | 72.15                             | 52.78                             | 32.91                                  | 123.29                            | ^                                | ^                                 |
| 3.2     | Total Net Assets at the end of the period  | (₹ in Crores) | 8.66                     | *                                 | *                                 | *                                 | 25.13                                  | 126.84                            | 32.41                            | 20.87                             |
| 4.1     | NAV at the beginning of the half year period ###   | (₹)           |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Growth   |               | 575.7070                 | 11.1311                           | 11.0937                           | 11.0094                           | 10.5558                                | 10.0881                           | N.A.                             | N.A.                              |
|         | Dividend   |               | N.A.                     | 11.1311                           | 11.0937                           | 11.0094                           | 10.5558                                | 10.0881                           | N.A.                             | N.A.                              |
|         | <b>Regular Plan</b>  |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Quarterly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Bonus Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Half Yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | <b>Direct Plan</b>   |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Growth Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 10.5571                                | 10.0884                           | N.A.                             | N.A.                              |
|         | Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Half Yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Dividend   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 10.5571                                | N.A.                              | N.A.                             | N.A.                              |
| 4.2     | NAV at the end of the period   | (₹)           |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Growth   |               | 587.2085                 | *                                 | *                                 | *                                 | 10.1889                                | 10.3783                           | 9.4935                           | 10.0339                           |
|         | Dividend   |               | N.A.                     | *                                 | *                                 | *                                 | 10.1889                                | 10.3783                           | N.A.                             | N.A.                              |
|         | <b>Regular Plan</b>  |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.4935                           | 10.0339                           |
|         | Quarterly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.4935                           | N.A.                              |
|         | Bonus Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.4935                           | N.A.                              |
|         | Half Yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.4935                           | 10.0339                           |
|         | <b>Direct Plan</b>   |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Growth Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 10.2284                                | 10.3890                           | 9.5064                           | 10.0342                           |
|         | Dividend   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 10.2284                                | N.A.                              | N.A.                             | N.A.                              |
|         | Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.5064                           | N.A.                              |
|         | Half Yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | 9.5064                           | N.A.                              |
| 4.3     | Dividend (net) paid per unit during the half - year                                      | (₹)           |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | <b>Individual &amp; HUF</b>  |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Dividend   |               | N.A.                     | 1.12903253                        | 0.96439636                        | 0.89253827                        | -                                      | -                                 | N.A.                             | N.A.                              |
|         | Regular Plan- Dividend payout- Monthly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | -                                 |
|         | Regular Plan- Dividend payout- Quarterly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | -                                 |
|         | Regular Plan- Dividend payout- Half yearly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | -                                 |
|         | Direct Plan Dividend   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | -                                      | N.A.                              | N.A.                             | N.A.                              |
|         | Direct Plan- Dividend payout- Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | Direct Plan- Dividend payout- Half yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | <b>Others</b>  |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Dividend   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | -                                      | -                                 | N.A.                             | N.A.                              |
|         | Regular Plan- Dividend payout- Monthly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | -                                 |
|         | Regular Plan- Dividend payout- Quarterly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | N.A.                              |
|         | Regular Plan- Dividend payout- Half yearly Option  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | -                                 |
|         | Direct Plan Dividend   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | -                                      | N.A.                              | N.A.                             | N.A.                              |
|         | Direct Plan- Dividend payout- Monthly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | N.A.                              |
|         | Direct Plan- Dividend payout- Half yearly Option   |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | -                                | N.A.                              |
| 5.1     | Dividend   | (₹ in Crores) | 0.14                     | -                                 | -                                 | -                                 | 0.65                                   | -                                 | -                                | -                                 |
| 5.2     | Interest   | (₹ in Crores) | 0.00 @                   | 0.99                              | 0.05                              | 0.04                              | 0.00 @                                 | 6.02                              | 0.80                             | 0.06                              |
| 5.3     | Profit/(Loss) on sale/redemption of investments (other than inter scheme transfer/sale.) | (₹ in Crores) | 0.55                     | (0.03)                            | -                                 | -                                 | 0.48                                   | 0.12                              | (0.59)                           | -                                 |
| 5.4     | Profit/(Loss) on inter-scheme transfer/sale of investments                               | (₹ in Crores) | -                        | -                                 | -                                 | -                                 | -                                      | -                                 | -                                | -                                 |
| 5.5     | Other Income   | (₹ in Crores) | (0.00) @                 | -                                 | -                                 | -                                 | 0.03                                   | -                                 | 0.01                             | -                                 |
| 5.6     | Total Income (5.1 to 5.5)  | (₹ in Crores) | 0.69                     | 0.96                              | 0.05                              | 0.04                              | 1.16                                   | 6.14                              | 0.22                             | 0.06                              |
| 6.1     | EXPENSES   |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
| 6.1     | Management Fees (excluding service tax)  | (₹ in Crores) | 0.00 @                   | 0.02                              | 0.01                              | 0.00 @                            | 0.08                                   | 0.20                              | 0.06                             | 0.00 @                            |
| 6.2     | Trustee Fees (excluding service tax)   | (₹ in Crores) | 0.00 @                   | 0.00 @                            | 0.00 @                            | 0.00 @                            | 0.00 @                                 | 0.01                              | 0.00 @                           | 0.00 @                            |
| 6.3     | Total Recurring Expenses (including 6.1 and 6.2)   | (₹ in Crores) | 0.01                     | 0.03                              | 0.01                              | 0.00 @                            | 0.26                                   | 0.38                              | 0.13                             | 0.00 @                            |
| 6.4     | Percentage of Management Fees to daily average net assets #                              | (%)           |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Regular Plan   |               | 0.05%                    | 0.25%                             | 0.95%                             | 0.00%                             | 0.60%                                  | 0.35%                             | 0.70%                            | 0.27%                             |
|         | Direct Plan  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 0.60%                                  | 0.35%                             | 0.70%                            | 0.27%                             |
| 6.5     | Total Recurring expenses as a percentage of daily average net assets #                   | (%)           |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | Regular Plan   |               | 0.25%                    | 0.29%                             | 1.00%                             | 0.05%                             | 1.70%                                  | 0.60%                             | 1.40%                            | 0.50%                             |
|         | Direct Plan  |               | N.A.                     | N.A.                              | N.A.                              | N.A.                              | 0.90%                                  | 0.40%                             | 0.90%                            | 0.42%                             |
| 7.1     | Returns during the half year \$ [(+) (-)]  |               | 2.00%                    | N.A.                              | N.A.                              | N.A.                              | -3.49%                                 | 2.88%                             | NA                               | NA                                |
| 7.2     | Compounded Annualised yield in case of schemes in existence for more than 1 year         |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | (i) Last 1 year  | [%]           | 1.85%                    | N.A.                              | N.A.                              | N.A.                              | -6.88%                                 | N.A.                              | N.A.                             | N.A.                              |
|         | (ii) Last 3 years  | [%]           | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | (iii) Last 5 years   | [%]           | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | (iv) Since the launch of the scheme /plan  | [%]           | 8.15%                    | N.A.                              | N.A.                              | N.A.                              | 1.49%                                  | 3.78%                             | -5.07%                           | 0.34%                             |
|         | (v) Date of launch of the scheme / plan  |               | 18-Oct-11                | 20-Jan-12                         | 7-Mar-12                          | 30-Mar-12                         | 26-Jun-12                              | 26-Mar-13                         | 24-Jun-13                        | 20-Sep-13                         |
| 7.3     | Returns on Benchmark Index during the half year  |               | 0.93%                    | N.A.                              | N.A.                              | N.A.                              | -4.65%                                 | 3.60%                             | N.A.                             | N.A.                              |
| 7.4     | Compounded Annualised yield on Benchmark Index   |               |                          |                                   |                                   |                                   |  |                                   |                                  |                                   |
|         | (i) Last 1 year  | [%]           | 0.56%                    | N.A.                              | N.A.                              | N.A.                              | -8.04%                                 | N.A.                              | N.A.                             | N.A.                              |
|         | (ii) Last 3 years  | [%]           | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | (iii) Last 5 years   | [%]           | N.A.                     | N.A.                              | N.A.                              | N.A.                              | N.A.                                   | N.A.                              | N.A.                             | N.A.                              |
|         | (iv) Since the launch of the scheme /plan  | [%]           | 6.86%                    | N.A.                              | N.A.                              | N.A.                              | 0.05%                                  | 3.65%                             | -3.89%                           | 0.29%                             |
|         | Benchmark Index  |               | S&P CNX Nifty Index      | CRISIL Short Term Bond Fund Index | CRISIL Short Term Bond Fund Index | CRISIL Short Term Bond Fund Index | CNX Dividend Opportunities Index       | CRISIL Short Term Bond Fund Index | CRISIL Composite Bond Fund Index | CRISIL Short Term Bond Fund Index |
| 8       | Provision for Doubtful Income/Debts  | (₹ in Crores) | -                        | -                                 | -                                 | -                                 | -                                      | -                                 | -                                | -                                 |
| 9       | Payments to associate/group companies  | (₹ in Crores) | -                        | -                                 | -                                 | -                                 | 0.04                                   | -                                 | -                                | -                                 |
| 10      | Investments made in associate/group companies  | (₹ in Crores) | 0.01                     | -                                 | -                                 | -                                 | 0.33                                   | 2.11                              | -                                | -                                 |

(N.A.) Not Applicable. (\$) Returns during the half year has been calculated on published NAVs for the Growth Option of Scheme. (@) Indicates less than 0.01 Crores. (#) Indicates annualised for the period. (^) The Units of the scheme were allotted during the half year period ended September 30, 2013 and hence there are no unit balances, Total Net Assets and NAV per unit at the beginning of the period. (###) For IIFL Nifty ETF, IIFL Fixed Maturity Plan-Series 1, IIFL Fixed Maturity Plan-Series 2, IIFL Fixed Maturity Plan-Series 3, IIFL Dividend Opportunities Index Fund and IIFL Fixed Maturity Plan - Series 6 NAVs at the end of the half year period are based on the computed NAV as on 31st March 2013. (\*) Schemes matured during the half year period ended September 30, 2013 and hence there are no unit balances, Total Net Assets and NAV per unit at the end of the period.

**NOTES TO ACCOUNTS**

- There has been no change in the accounting policy during the half-year ended September 30, 2013.
- Disclosure under Regulation 25(8) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 : Payment to associate/ group companies.

**Brokerage paid to associates/related parties/group companies of Sponsor/AMC**

| Name of associate/ related parties/ group companies of Sponsor/AMC | Nature of Association/ Nature of relation | Period covered                         | Value of transaction |  | Brokerage |                                       |
|--|---|--|----------------------|--|-----------|---------------------------------------|
|  |   |  | ₹ Crores             | % of total value transaction of the Fund | ₹ Crores  | % of total brokerage Paid by the Fund |
|  |   | 01st April 2013 to 30th September 2013 | Nil                  |  | Nil       | Nil                                   |
|  |   | 01st October 2012 to 31st March 2013   | Nil                  |  | Nil       | Nil                                   |

**Commission paid to associates/related parties/group companies of sponsor/AMC**

| Name of associate/ related parties/ group companies of Sponsor/AMC | Nature of Association/ Nature of relation | Period covered                         | Value of transaction |  | Brokerage |                                   |
|--|---|--|----------------------|--|-----------|-----------------------------------|
|  |   |  | ₹ Crores             | % of total business received by the Fund | ₹ Crores  | % of total commission by the Fund |
| India Infoline Limited   | Associate                                 | 01st April 2013 to 30th September 2013 | 29.94                |  | 34.76%    | 0.02                              |
| IIFL Wealth Management Ltd   | Associate                                 | 01st April 2013 to 30th September 2013 | 48.17                |  | 55.93%    | 0.02                              |
| India Infoline Limited   | Associate                                 | 01st October 2012 to 31st March 2013   | 24.65                |  | 50.96%    | 0.03                              |
| IIFL Wealth Management Ltd   | Associate                                 | 01st October 2012 to 31st March 2013   | 19.78                |  | 40.90%    | 0.03                              |

Note: Above figures does not include commission borne by AMC

**3. Disclosure under Regulation 25(11) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 as amended Investments made by the schemes of IIFL Mutual Fund in Companies or their subsidiaries that have invested more than 5% of the net assets of any scheme**

| Company Name       | Schemes invested in by the Company | Investment made by IIFL Mutual Fund in the Company/ Subsidiary | Aggregate cost of acquisition during the period ended 30 September 2013 (₹ in Lakhs) | Outstanding as at 30 September 2013 (At Market/ Fair Value) (₹ in Lakhs) |
|--------------------|------------------------------------|--|--|--|
| India Infoline Ltd | IIFL Nifty ETF                     | IIFL Dividend Opportunities Index Fund                         | 7.95   | -  |
|                    | IIFL Short Term Income Fund        | IIFL Fixed Maturity Plan - Series 6                            | 2,715.56   | 2,886.34   |

(Includes following subsidiaries : India Infoline Finance Ltd, India Infoline Housing Finance Ltd)

4. Detail of unit holders who hold over 25% of the NAV of the Scheme as at the end of the half-year period.

| Scheme | No. of Investors | Percentage of holding |
|--------|------------------|-----------------------|
| Nil    | Nil              | Nil                   |

- No Scheme has declared any bonus during the half-year period ended September 30, 2013.
- None of the Scheme has any deferred revenue expenditure.
- No Scheme has invested in foreign securities / ADRs / GDRs during the half-year period ended September 30, 2013.
- The total outstanding exposure in derivative instruments as at the end of the half-year period is NIL.
- No Scheme has borrowed money for half-year period ended September 30, 2013.
- Schemes closed/matured during the half year are as below:

| Name of the scheme                  | Maturity Date |
|-------------------------------------|---------------|
| IIFL Fixed Maturity Plan - Series 1 | 22-Jul-13     |
| IIFL Fixed Maturity Plan - Series 2 | 3-Apr-13      |
| IIFL Fixed Maturity Plan - Series 3 | 3-Apr-13      |

11. Launch date/ Allotment date given below

| Name of the scheme                     | Launch Date | Allotment Date |
|--|-------------|----------------|
| IIFL NIFTY ETF                         | 28-Sep-11   | 18-Oct-11      |
| IIFL Fixed Maturity Plan - Series 1    | 13-Jan-12   | 20-Jan-12      |
| IIFL Fixed Maturity Plan - Series 2    | 6-Mar-12    | 7-Mar-12       |
| IIFL Fixed Maturity Plan - Series 3    | 28-Mar-12   | 30-Mar-12      |
| IIFL Dividend Opportunities Index Fund | 6-Jun-12    | 26-Jun-12      |
| IIFL Fixed Maturity Plan - Series 6    | 18-Mar-13   | 26-Mar-13      |
| IIFL Dynamic Income Fund               | 6-Jun-13    | 24-Jun-13      |
| IIFL Short Term Income Fund            | 30-Aug-13   | 20-Sep-13      |

12. During the half year ended September 30, 2013, none of the schemes subscribed in the issues lead managed by the associate companies or subscribed to any issue of equity or debt on a private placement basis where the sponsor or its associate companies have acted as arranger or manager. Further, during the current Half-year none of the schemes have undertaken any under writing obligations with respect to issue of securities of Associate companies of devolved with respect to the same

13. The unaudited Half Yearly Financial results for the period ended September 30, 2013 have been approved by the Board of Directors of India Infoline Asset Management Company Ltd. And India Infoline Trustee Company Ltd.



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Notice is hereby given that the Un-audited Financial Results of respective schemes of IIFL Mutual Fund, for the half year ended September 30, 2013, have been hosted on website of IIFL Mutual Fund i.e. www.iiflmf.com. The Portfolio statement of respective schemes of IIFL Mutual Fund, as on September 30, 2013 is as follows:

**PORTFOLIO STATEMENT OF IIFL NIFTY ETF AS ON SEPTEMBER 30, 2013**  
(An Open-ended Index Exchange Traded Fund)

| Name of the Instrument                                | Industry/Rating          | Quantity | Market value<br>(₹ in lakhs) | % to<br>Net Assets |
|---|--------------------------|----------|------------------------------|--------------------|
| <b>EQUITY &amp; EQUITY RELATED INSTRUMENTS</b>        |                          |          |                              |                    |
| <b>Listed/awaiting listing on the stock exchanges</b> |                          |          |                              |                    |
| ITC Ltd.  | Consumer Non Durables    | 25406    | 86.48                        | 9.99               |
| Infosys Ltd.  | Software                 | 2228     | 67.13                        | 7.75               |
| Reliance Industries Ltd.                              | Petroleum Products       | 7590     | 62.42                        | 7.21               |
| Housing Development Finance Corporation Ltd.          | Finance                  | 7194     | 54.98                        | 6.35               |
| HDFC Bank Ltd.  | Banks                    | 8533     | 50.60                        | 5.84               |
| ICI/CI Bank Ltd.                                      | Banks                    | 5333     | 47.13                        | 5.44               |
| Tata Consultancy Services Ltd.                        | Software                 | 2355     | 45.40                        | 5.24               |
| Larsen & Toubro Ltd.                                  | Construction Project     | 3758     | 29.64                        | 3.42               |
| Tata Motors Ltd.                                      | Auto                     | 8301     | 27.60                        | 3.19               |
| Oil & Natural Gas Corporation Ltd.                    | Oil                      | 8175     | 21.90                        | 2.53               |
| Sun Pharmaceuticals Industries Ltd.                   | Pharmaceuticals          | 3476     | 20.62                        | 2.38               |
| Hindustan Unilever Ltd.                               | Consumer Non Durables    | 3269     | 20.51                        | 2.37               |
| State Bank of India                                   | Banks                    | 1192     | 19.25                        | 2.22               |
| Mahindra & Mahindra Ltd.                              | Auto                     | 2125     | 17.58                        | 2.03               |
| Bharti Airtel Ltd.                                    | Telecom - Services       | 5498     | 17.52                        | 2.02               |
| Axis Bank Ltd.  | Banks                    | 1433     | 14.44                        | 1.67               |
| Wipro Ltd.  | Software                 | 3013     | 14.25                        | 1.65               |
| NTPC Ltd.   | Power                    | 9524     | 14.04                        | 1.62               |
| Dr. Reddy's Laboratories Ltd.                         | Pharmaceuticals          | 586      | 13.95                        | 1.61               |
| HCL Technologies Ltd.                                 | Software                 | 1228     | 13.35                        | 1.54               |
| Bajaj Auto Ltd.                                       | Auto                     | 621      | 12.34                        | 1.42               |
| Kotak Mahindra Bank Ltd.                              | Banks                    | 1752     | 11.88                        | 1.37               |
| Sesa Sterilite Ltd.                                   | Minerals/Mining          | 5711     | 10.32                        | 1.19               |
| Cipla Ltd.  | Pharmaceuticals          | 2345     | 10.14                        | 1.17               |
| Asian Paints Ltd.                                     | Consumer Non Durables    | 2093     | 9.61                         | 1.11               |
| Lupin Ltd.  | Pharmaceuticals          | 1101     | 9.42                         | 1.09               |
| Hero MotoCorp Ltd.                                    | Auto                     | 441      | 8.86                         | 1.02               |
| Cairn India Ltd.                                      | Oil                      | 2733     | 8.71                         | 1.01               |
| Coal India Ltd.                                       | Minerals/Mining          | 2919     | 8.59                         | 0.99               |
| Ultratech Cement Ltd.                                 | Cement                   | 470      | 8.50                         | 0.98               |
| Tata Steel Ltd.                                       | Ferrous Metals           | 3081     | 8.37                         | 0.97               |
| Maruti Suzuki India Ltd.                              | Auto                     | 612      | 8.31                         | 0.96               |
| Grasim Industries Ltd.                                | Cement                   | 294      | 7.78                         | 0.90               |
| IndusInd Bank Ltd.                                    | Banks                    | 1961     | 7.23                         | 0.83               |
| GAIL (India) Ltd.                                     | Gas                      | 2076     | 6.81                         | 0.79               |
| Hindalco Industries Ltd.                              | Non - Ferrous Metals     | 5943     | 6.65                         | 0.77               |
| Ambuja Cements Ltd.                                   | Cement                   | 3530     | 6.44                         | 0.74               |
| Power Grid Corporation of India Ltd.                  | Power                    | 6541     | 6.41                         | 0.74               |
| Tata Power Company Ltd.                               | Power                    | 7404     | 6.01                         | 0.69               |
| Infrastructure Development Finance Ltd.               | Finance                  | 5718     | 5.03                         | 0.58               |
| Bharat Heavy Electricals Ltd.                         | Industrial Capital Goods | 3672     | 5.05                         | 0.58               |
| ACC Ltd.  | Cement                   | 433      | 4.81                         | 0.56               |
| NMDC Ltd.   | Minerals/Mining          | 3678     | 4.42                         | 0.51               |
| Bank of Baroda  | Banks                    | 873      | 4.31                         | 0.50               |
| Jindal Steel & Power Ltd.                             | Ferrous Metals           | 1785     | 4.20                         | 0.48               |
| Bharat Petroleum Corporation Ltd.                     | Petroleum Products       | 1195     | 3.96                         | 0.46               |
| Punjab National Bank                                  | Banks                    | 693      | 3.20                         | 0.37               |
| DLF Ltd.  | Construction             | 2058     | 2.64                         | 0.30               |
| Ranbaxy Laboratories Ltd.                             | Pharmaceuticals          | 724      | 2.39                         | 0.28               |
| Jaiprakash Associates Ltd.                            | Construction             | 5710     | 1.99                         | 0.23               |
| <b>Total</b>  |                          |          | <b>863.17</b>                | <b>99.66</b>       |
| Cash & Cash Equivalent                                |                          |          |                              |                    |
| CBLO / Reverse Repo Investments                       |                          |          |                              | 0.00               |
| Net Receivables/(Payables)                            |                          |          | 2.89                         | 0.34               |
| <b>Total</b>  |                          |          | <b>2.89</b>                  | <b>0.34</b>        |
| <b>NET ASSETS</b>                                     |                          |          | <b>866.06</b>                | <b>100.00</b>      |

**Notes:**  
1. Total Non Performing Assets provided for and its percentage to NAV Nil  
2. NAV at the beginning of the period (₹) \$  
Growth Option 575.7070  
3. NAV at the end of the period (₹)  
Growth Option 587.2085  
4. Exposure to derivative instrument at the end of the half-year period Nil  
5. Investment in foreign securities/ADRs/GDRs at the end of the half-year period Nil  
6. Investment in short term deposit at the end of the half-year period Nil  
7. Portfolio Turnover Ratio 0.12  
8. Total Dividend (net) declared during the half-year period - (Dividend Option) N.A.  
\$ Based on the computed NAV as on 31st Mar 2013.  
9. Total Exposure to illiquid securities is Nil

**PORTFOLIO STATEMENT OF IIFL DYNAMIC BOND FUND AS ON SEPTEMBER 30, 2013**  
(An Open-ended Income Scheme)

| Name of the Instrument   | Rating     | Quantity | Market value<br>(₹ in lakhs) | % to<br>Net Assets |
|--|------------|----------|------------------------------|--------------------|
| <b>DEBT INSTRUMENTS</b>  |            |          |                              |                    |
| <b>Listed/awaiting listing on the stock exchanges</b>          |            |          |                              |                    |
| Non Convertible Debentures                                     |            |          |                              |                    |
| 9.20% Housing Development Finance Ltd. 19-03-2015**            | CRISIL AAA | 500000   | 495.88                       | 15.30              |
| 8.95% Power Finance Corporation Ltd. (SERIES 101-A) 11-03-2018 | CRISIL AAA | 500000   | 485.43                       | 14.98              |
| 8.82% Rural Electrification Corporation Ltd. 12-04-2023        | ICRA AAA   | 500000   | 472.32                       | 14.57              |
| 9.52% IDFC Ltd. 17-07-2015**                                   | ICRA AAA   | 260000   | 258.87                       | 7.99               |
| <b>Total</b>   |            |          | <b>1,712.50</b>              | <b>52.84</b>       |
| <b>GOVERNMENT BONDS</b>  |            |          |                              |                    |
| 08.33% GOVT - 09-07-2026                                       | SOVEREIGN  | 1000000  | 932.00                       | 28.75              |
| 08.20% GOVT - 24-09-2025                                       | SOVEREIGN  | 500000   | 461.75                       | 14.25              |
| <b>Total</b>   |            |          | <b>1,393.75</b>              | <b>43.00</b>       |
| <b>Cash &amp; Cash Equivalent</b>                              |            |          |                              |                    |
| CBLO/Reverse Repo Investments                                  |            |          | 41.29                        | 1.27               |
| Net Receivables/(Payables)                                     |            |          | 93.70                        | 2.89               |
| <b>Total</b>   |            |          | <b>134.99</b>                | <b>4.16</b>        |
| <b>NET ASSETS</b>  |            |          | <b>3,241.24</b>              | <b>100.00</b>      |

\*\* Thinly traded/Non traded securities  
**Notes:**  
1. Total Non Performing Assets provided for and its percentage to NAV Nil  
2. NAV at the beginning of the period (₹) \$  
Regular Plan- Growth Option N.A.  
Regular Plan- Dividend payout- Monthly Option N.A.  
Regular Plan- Dividend payout- Quarterly Option N.A.  
Regular Plan- Bonus Option N.A.  
Regular Plan- Dividend payout- Half yearly Option N.A.  
Direct Plan- Growth Option N.A.  
Direct Plan- Dividend payout- Monthly Option N.A.  
Direct Plan- Dividend payout- Half yearly Option N.A.  
3. NAV at the end of the period (₹)  
Regular Plan- Growth Option 9.4935  
Regular Plan- Dividend payout- Monthly Option 9.4935  
Regular Plan- Dividend payout- Quarterly Option 9.4935  
Regular Plan- Bonus Option 9.4935  
Regular Plan- Dividend payout- Half yearly Option 9.4935  
Direct Plan- Growth Option 9.5064  
Direct Plan- Dividend payout- Monthly Option 9.5064  
Direct Plan- Dividend payout- Half yearly Option 9.5064  
4. Exposure to derivative instrument at the end of the half-year period Nil  
5. Investment in foreign securities/ADRs/GDRs at the end of the half-year period Nil  
6. Investment in short term deposit at the end of the half-year period Nil  
7. Average Portfolio Maturity 2889 Days  
8. Total Dividend (net) declared during the half-year period - (Dividend Option) Nil  
\$ Launch/allotment date during the current period and hence no NAV for the beginning of the period.  
9. Total Exposure to illiquid securities is Nil

**PORTFOLIO STATEMENT OF IIFL SHORT TERM INCOME FUND AS ON SEPTEMBER 30, 2013**  
(An Open-ended Income Scheme)

| Name of the Instrument                 | Rating     | Quantity | Market value<br>(₹ in lakhs) | % to<br>Net Assets |
|--|------------|----------|------------------------------|--------------------|
| <b>MONEY MARKET INSTRUMENTS</b>        |            |          |                              |                    |
| <b>Certificate of Deposit</b>          |            |          |                              |                    |
| Punjab National Bank 20-03-2014**      | CARE A1+   | 500000   | 478.59                       | 22.93              |
| Canara Bank 24-03-2014**               | CRISIL A1+ | 500000   | 478.11                       | 22.90              |
| State Bank Of Patiala 25-03-2014**     | ICRA A1+   | 500000   | 477.99                       | 22.90              |
| Oriental Bank Of Commerce 10-03-2014** | CRISIL A1+ | 150000   | 143.94                       | 6.90               |
| <b>Total</b>                           |            |          | <b>1,578.63</b>              | <b>75.63</b>       |
| <b>Cash &amp; Cash Equivalent</b>      |            |          |                              |                    |
| CBLO/Reverse Repo Investments          |            |          | 499.87                       | 23.95              |
| Net Receivables/(Payables)             |            |          | 8.98                         | 0.42               |
| <b>Total</b>                           |            |          | <b>508.85</b>                | <b>24.37</b>       |
| <b>NET ASSETS</b>                      |            |          | <b>2,087.48</b>              | <b>100.00</b>      |

\*\* Thinly traded/Non traded securities  
**Notes:**  
1. Total Non Performing Assets provided for and its percentage to NAV Nil  
2. NAV at the beginning of the period (₹) \$  
Regular Plan- Growth Option N.A.  
Regular Plan- Dividend payout- Monthly Option N.A.  
Regular Plan- Dividend payout- Half yearly Option N.A.  
Direct Plan- Growth Option N.A.

**PORTFOLIO STATEMENT OF IIFL DIVIDEND OPPORTUNITIES INDEX FUND AS ON SEPTEMBER 30, 2013**  
(An Open ended Index Fund)

| Name of the Instrument                                | Industry/Rating          | Quantity | Market value<br>(₹ in lakhs) | % to<br>Net Assets |
|---|--------------------------|----------|------------------------------|--------------------|
| <b>EQUITY &amp; EQUITY RELATED INSTRUMENTS</b>        |                          |          |                              |                    |
| <b>Listed/awaiting listing on the stock exchanges</b> |                          |          |                              |                    |
| Hindustan Unilever Ltd.                               | Consumer Non Durables    | 32319    | 202.79                       | 8.06               |
| Bajaj Auto Ltd.                                       | Auto                     | 10197    | 202.66                       | 8.06               |
| NTPC Ltd.   | Power                    | 135782   | 200.21                       | 7.96               |
| ITC Ltd.  | Consumer Non Durables    | 58193    | 198.09                       | 7.88               |
| Oil & Natural Gas Corporation Ltd.                    | Oil                      | 73391    | 196.58                       | 7.82               |
| Hero MotoCorp Ltd.                                    | Auto                     | 8656     | 173.92                       | 6.91               |
| ACC Ltd.  | Cement                   | 8464     | 94.12                        | 3.74               |
| GlaxoSmithKline Pharmaceuticals Ltd.                  | Pharmaceuticals          | 3790     | 93.54                        | 3.72               |
| Bank of Baroda  | Banks                    | 17039    | 84.10                        | 3.34               |
| Colgate Palmolive (India) Ltd.                        | Consumer Non Durables    | 6044     | 74.94                        | 2.98               |
| Mahindra & Mahindra Financial Services Ltd.           | Finance                  | 24663    | 63.54                        | 2.53               |
| Punjab National Bank                                  | Banks                    | 13507    | 62.33                        | 2.48               |
| Rural Electrification Corporation Ltd.                | Finance                  | 29641    | 55.74                        | 2.22               |
| Oil India Ltd.  | Oil                      | 12319    | 53.88                        | 2.14               |
| LIC Housing Finance Ltd.                              | Finance                  | 27233    | 51.18                        | 2.03               |
| Cummins India Ltd.                                    | Industrial Products      | 12281    | 50.14                        | 1.99               |
| GlaxoSmithKline Consumer Healthcare Ltd.              | Consumer Non Durables    | 1049     | 45.50                        | 1.81               |
| Bajaj Holdings & Investment Ltd.                      | Finance                  | 5550     | 43.07                        | 1.71               |
| Piramal Enterprises Ltd.                              | Pharmaceuticals          | 6986     | 41.41                        | 1.65               |
| Power Finance Corporation Ltd.                        | Finance                  | 31368    | 41.06                        | 1.63               |
| Castrol India Ltd.                                    | Petroleum Products       | 12955    | 40.11                        | 1.59               |
| Karur Vysya Bank Ltd.                                 | Banks                    | 9350     | 29.11                        | 1.16               |
| Mphasis Ltd.  | Software                 | 6639     | 29.13                        | 1.16               |
| Hindustan Petroleum Corporation Ltd.                  | Petroleum Products       | 14968    | 28.76                        | 1.14               |
| Canara Bank   | Banks                    | 12929    | 28.63                        | 1.14               |
| Hexaware Technologies Ltd.                            | Software                 | 19472    | 25.03                        | 1.00               |
| Union Bank of India                                   | Banks                    | 22724    | 24.92                        | 0.99               |
| The South Indian Bank Ltd.                            | Banks                    | 120742   | 23.91                        | 0.95               |
| The Jammu & Kashmir Bank Ltd.                         | Banks                    | 2055     | 23.40                        | 0.93               |
| IDBI Bank Ltd.  | Banks                    | 34076    | 19.92                        | 0.79               |
| Ashok Leyland Ltd.                                    | Auto                     | 117912   | 17.86                        | 0.71               |
| Oriental Bank of Commerce                             | Banks                    | 11080    | 16.04                        | 0.64               |
| Allahabad Bank  | Banks                    | 20235    | 15.38                        | 0.61               |
| The Karnataka Bank Ltd.                               | Banks                    | 17034    | 14.21                        | 0.56               |
| Mcleod Russel India Ltd.                              | Consumer Non Durables    | 5375     | 13.49                        | 0.54               |
| Rallis India Ltd.                                     | Pesticides               | 8777     | 13.31                        | 0.53               |
| Syndicate Bank  | Banks                    | 18414    | 12.46                        | 0.50               |
| UCO Bank  | Banks                    | 20918    | 12.12                        | 0.48               |
| Andhra Bank   | Banks                    | 21247    | 10.97                        | 0.44               |
| NIIT Technologies Ltd.                                | Software                 | 3756     | 10.58                        | 0.42               |
| Engineers India Ltd.                                  | Construction Project     | 5973     | 10.36                        | 0.41               |
| Indian Overseas Bank                                  | Banks                    | 21892    | 9.68                         | 0.38               |
| Gujarat Gas Company Ltd.                              | Gas                      | 3052     | 8.11                         | 0.32               |
| Vijaya Bank   | Banks                    | 20149    | 7.23                         | 0.29               |
| Dena Bank   | Banks                    | 14167    | 6.49                         | 0.26               |
| Alstom India Ltd.                                     | Industrial Capital Goods | 1913     | 6.50                         | 0.26               |
| Chambal Fertilizers & Chemicals Ltd.                  | Fertilisers              | 16403    | 5.87                         | 0.23               |
| Corporation Bank                                      | Banks                    | 2142     | 5.22                         | 0.21               |
| Dewan Housing Finance Corporation Ltd.                | Finance                  | 5071     | 5.27                         | 0.21               |
| Indian Bank   | Banks                    | 7771     | 5.24                         | 0.21               |
| <b>Total</b>  |                          |          | <b>2,508.11</b>              | <b>99.72</b>       |
| Cash & Cash Equivalent                                |                          |          |                              |                    |
| CBLO / Reverse Repo Investments                       |                          |          |                              | 0.28               |
| Net Receivables/(Payables)                            |                          |          | 7.12                         | 0.28               |
| <b>Total</b>  |                          |          | <b>7.12</b>                  | <b>0.28</b>        |
| <b>NET ASSETS</b>                                     |                          |          | <b>2,515.23</b>              | <b>100.00</b>      |

**Notes:**  
1. Total Non Performing Assets provided for and its percentage to NAV Nil  
2. NAV at the beginning of the period (₹) \$  
Growth Option - Direct 10.5571  
Dividend Option - Direct 10.5571  
Growth Option 10.5558  
Dividend Option 10.5558  
3. NAV at the end of the period (₹)  
Growth Option - Direct 10.2284  
Dividend Option - Direct 10.2284  
Growth Option 10.1889  
Dividend Option 10.1889  
4. Exposure to derivative instrument at the end of the half-year period Nil  
5. Investment in foreign securities/ADRs/GDRs at the end of the half-year period Nil  
6. Investment in short term deposit at the end of the half-year period Nil  
7. Portfolio Turnover Ratio 0.58  
8. Total Dividend (net) declared during the half-year period - (Dividend Option) Nil  
\$ Based on the computed NAV as on 31st Mar 2013.  
9. Total Exposure to illiquid securities is Nil

**PORTFOLIO STATEMENT OF IIFL FMP - SERIES 6 AS ON SEPTEMBER 30, 2013**  
(A Close-ended Income Scheme)

| Name of the Instrument                                    | Rating     | Quantity | Market value<br>(₹ in lakhs) | % to<br>Net Assets |
|---|------------|----------|------------------------------|--------------------|
| <b>DEBT INSTRUMENTS</b>                                   |            |          |                              |                    |
| <b>a) Listed/awaiting listing on the stock exchanges</b>  |            |          |                              |                    |
| 10.20% Tata Steel Ltd. 07-05-2015**                       | IND AAA    | 1500000  | 1,507.85                     | 11.89              |
| 9.15% Tata Motors Ltd. 03-06-2015**                       | CARE AA    | 1500000  | 1,481.22                     | 11.68              |
| 9.15% Tata Power Company Ltd. 23-07-2015**                | ICRA AA    | 1000000  | 982.74                       | 7.75               |
| 9.57% IDFC Ltd. 11-07-2015**                              | IND AAA    | 500000   | 501.55                       | 3.95               |
| 8.29% Power Finance Corporation Ltd. 25-06-2015**         | CRISIL AAA | 500000   | 488.71                       | 3.85               |
| 9.52% IDFC Ltd. 17-07-2015**                              | ICRA AAA   | 240000   | 238.96                       | 1.88               |
| 9.38% SIDBI 14-02-2015**                                  | CRISIL AAA | 170000   | 169.05                       | 1.33               |
| <b>Total</b>  |            |          | <b>5,370.08</b>              | <b>42.33</b>       |
| <b>b) Privately placed / Unlisted</b>                     |            |          |                              |                    |
| 8.00% Mahindra Vehicles Manufactures Ltd. 14-03-2015**    | ICRA AA    | 2000000  | 2,071.43                     | 16.33              |
| <b>Total</b>  |            |          | <b>2,071.43</b>              | <b>16.33</b>       |
| <b>Zero Coupon Bonds</b>                                  |            |          |                              |                    |
| 0.00% Sundaram BNP Paribas Home Finance Ltd. 27-07-2015** | CARE AA+   | 2000000  | 2,054.23                     | 16.20              |
| 0.00% India Infoline Finance Ltd. 27-07-2015**            | ICRA AA-   | 1500000  | 1,599.97                     | 12.61              |
| 0.00% India Infoline Housing Finance Ltd. 27-07-2015**    | CRISIL AA- | 1000000  | 1,066.65                     | 8.41               |
| 0.00% India Infoline Finance Ltd. 18-12-2014**            | ICRA AA-   | 173080   | 219.73                       | 1.73               |
| <b>Total</b>  |            |          | <b>4,940.58</b>              | <b>38.95</b>       |
| <b>MONEY MARKET INSTRUMENTS</b>                           |            |          |                              |                    |
| <b>Commercial Papers</b>                                  |            |          |                              |                    |
| EXIM Bank 05-11-2013**                                    | CRISIL A1+ | 20000    | 19.81                        | 0.16               |
| <b>Total</b>  |            |          | <b>19.81</b>                 | <b>0.16</b>        |
| <b>Cash &amp; Cash Equivalent</b>                         |            |          |                              |                    |
| CBLO/Reverse Repo Investments                             |            |          | 23.69                        | 0.19               |
| Net Receivables/(Payables)                                |            |          | 258.17                       | 2.04               |
| <b>Total</b>  |            |          | <b>281.86</b>                | <b>2.23</b>        |
| <b>NET ASSETS</b>   |            |          | <b>12,683.76</b>             | <b>100.00</b>      |

\*\* Thinly traded/Non traded securities  
**Notes:**  
1. Total Non Performing Assets provided for and its percentage to NAV Nil  
2. NAV at the beginning of the period (₹) \$  
Growth Option 10.0881  
Dividend Option 10.0881  
Growth Option - Direct 10.0884  
3. NAV at the end of the period (₹)  
Growth Option 10.3783  
Dividend Option 10.3783  
Growth Option - Direct 10.3890  
4. Exposure to derivative instrument at the end of the half-year period Nil  
5. Investment in foreign securities/ADRs/GDRs at the end of the half-year period Nil  
6. Investment in short term deposit at the end of the half-year period Nil  
7. Average Portfolio Maturity 611 Days  
8. Total Dividend (net) declared during the half-year period - (Dividend Option) Nil  
\$ Based on the computed NAV as on 31st Mar 2013.  
9. Total Exposure to illiquid securities is Nil