

FORM FOR FRESH NOMINATION /  
CHANGE OF EXISTING NOMINATION

Name of 1st Holder		Folio No.														
Name of 2nd Holder																
Name of 3rd Holder																

I/We wish to make a nomination. [As per details given below]

## NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account in the event of my / our death. This nomination shall supersede any prior nomination made by us/me if any.

Nomination can be made upto three nominees in the account.	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Mandatory information			
1 Name of the nominee(s)	Mr./Ms. _____	Mr./Ms. _____	Mr./Ms. _____
2 Share of each Nominee <sup>#</sup>	_____ %	_____ %	_____ %
3 Date of Birth (for Minor)	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
4 Relationship with the Applicant (select one)	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others (please specify) _____	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others (please specify) _____	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter <input type="checkbox"/> Others (please specify) _____
5 Nominee/ Guardian (in case of Minor) Identification details [Please tick any one of the following and provide ID Number and no copies required].	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar (masked last 4 digits) XXXXXXXXXX <input type="checkbox"/> Passport (for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar (masked last 4 digits) XXXXXXXXXX <input type="checkbox"/> Passport (for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar (masked last 4 digits) XXXXXXXXXX <input type="checkbox"/> Passport (for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____
6 Address of Nominee(s) / Guardian in case of Minor (City, Place, State & Country)	_____ City _____ Place _____ State _____ Country _____ Pin Code _____	_____ City _____ Place _____ State _____ Country _____ Pin Code _____	_____ City _____ Place _____ State _____ Country _____ Pin Code _____
7 Mobile of Nominee(s) / Guardian in case of Minor	_____	_____	_____
8 Email ID of nominee(s)/ Guardian in case of Minor	_____	_____	_____
Non-mandatory Details			
9 Nominee Guardian Name (in case Nominee is Minor)	_____	_____	_____

I/We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the 360 ONE AMC/MF as follows; (please tick, as appropriate)

☐ Name of nominee(s) with % ☐ Nomination: ☐ Yes ☐ No (Default)

This nomination shall supersede any prior nomination made by the account holder(s), if any

Signature(s) – As per the mode of holding in MF folio(s)

First Unitholder Name _____ First Unitholder Signature(s) / thumb impression	Second Unitholder Name _____ First Unitholder Signature(s) / thumb impression	Third Unitholder Name _____ First Unitholder Signature(s) / thumb impression
*First Witness Name _____	Witness Signature _____	
*Witness address _____		
*Second Witness Name _____	Witness Signature _____	
*Witness address _____		

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

<sup>#</sup> Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

Date D D M M Y Y Y Y

Request received for

From \_\_\_\_\_ Folio Number \_\_\_\_\_

Time stamp and Signature

# FORM FOR FRESH NOMINATION / CHANGE OF EXISTING NOMINATION

## DECLARATION FOR OPTING-OUT OF NOMINATION

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our Mutual Fund Folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our Mutual Fund Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the Mutual Fund Folio.

First Unitholder Name  First Unitholder Signature(s) / thumb impression	Second Unitholder Name  First Unitholder Signature(s) / thumb impression	Third Unitholder Name  First Unitholder Signature(s) / thumb impression
*First Witness Name		Witness Signature
*Witness address		
*Second Witness Name		Witness Signature
*Witness address		

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

## Rights, Entitlement and Obligation of the investor and nominee / Instructions

- If you are opening a new MF folio, you have to provide nomination. Otherwise, you have to follow the specified procedure for Opt-out.
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the 360 ONE AMC/MF for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder **cannot nominate**.
- Nomination is not allowed in a folio where Minor is the unitholder.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio account. i.e.
  - 'Either or Survivor' Folios / Accounts - any one of the holders can sign.
  - 'First holder Folios / Accounts - only First Holder can sign.
  - 'Jointly' Folios / Accounts - both holders have to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the 360 ONE AMC/MF.
- In respect of folios/accounts where the Nomination has been registered, the 360 ONE AMC/MF will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

### Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the 360 ONE AMC/MF, then the residual unclaimed asset shall continue to be with the 360 ONE AMC/MF.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the 360 ONE AMC/MF.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).
- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.

### Transmission aspects (Contd.)

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%